



1 Feb 21 24  
Docket No.: 5543P003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

J.J. GARCIA-LUNA-ACEVES, ET AL.

Application No.: 09/845,088

Filed: April 26, 2001

For: **SYSTEM AND METHOD FOR  
CONTROLLING ACCESS TO CONTENT  
CARRIED IN A CACHING  
ARCHITECTURE**

Art Group: 2154

Examiner: Siddiqi, Mohammad A.

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a Notice of Allowance. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

07/12/2005 FRETEK11 00000001 09845088

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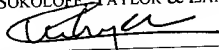
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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

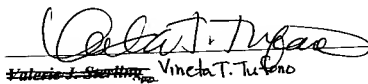


Date: July 08, 2005

Chze Koon Chua, Reg. No. 53,831

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
~~Catherine J. Sterling~~ Vincent T. Luongo

07-08-05

Date



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No.	09/845,088
Filing Date	April 26, 2001
First Named Inventor	J.J. Garcia-Luna-Aceves
Art Unit	2154
Examiner Name	Siddiqi, Mohammad A.
Attorney Docket Number	5543P003

Total Number of Pages in This Submission 13

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☒ PTO/SB/08

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Basic Filing Fee

☐ Declaration/POA

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

- 4 cited References;  
- Return postcard.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Chze Koon Chua, Reg. No. 53,831

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Signature

Date

July 8, 2005

## CERTIFICATE OF MAILING/TRANSMISSION

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Valerie T. Sotolongo, Vincent T. Infante

Signature

Date

July 8, 2005

U.S. PATENT & TRADEMARK OFFICE  
JUL 11 2005

# **FEE TRANSMITTAL for FY 2005**

*Patent fees are subject to annual revision.*

☒ Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$) 180.00

## **Complete if Known**

Application Number	09/845,088
Filing Date	April 26, 2001
First Named Inventor	J.J. Garcia-Luna-Aceves
Examiner Name	Siddiqi, Mohammad A.
Art Unit	2154
Attorney Docket No.	5543P003

## **METHOD OF PAYMENT (check all that apply)**

☒ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

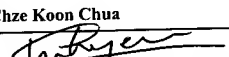
☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments

under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

## **FEE CALCULATION**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
SUBTOTAL (2)					(\$)
					180.00

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Chze Koon Chua	Registration No. (Attorney/Agent)	53,831
Signature		Telephone	(408) 947-8200
		Date	07/08/05

Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
 STATEMENT BY APPLICANT**  
 (Use as many sheets as necessary)



Complete if Known

Application Number	09/845,088
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Group Art Unit	2154
Examiner Name	Siddiqi, Mohammad

Attorney Docket No: 5543P003

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**US PATENT DOCUMENTS**

Examiner Initial *	Cite No. *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,774,860	06/30/1998	Brendel, Juergen , et al.	
		US-5,822,820	10/13/1998	Horikawa, Koichi , et al.	
		US-6,092,178	07/18/2000	Jindal, Anita , et al.	
		US-6,138,182	10/24/2000	Pistriotto, Joseph C., et al.	
		US-6,154,777	11/28/2000	Ebrahim, Zahir	
		US-6,185,619	02/06/2001	Joffe, Rodney L., et al.	
		US-6,205,477	03/20/2001	Johnson, Richard A., et al.	
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		US-6,317,778	11/13/2001	Dias, Daniel M., et al.	
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		US-6,449,647	09/10/2002	Colby, Steven , et al.	
		US-6,553,376	04/22/2003	Lewis, Kevin T., et al.	
		US-6,577,809	06/10/2003	Sharony, Jacob	
		US-6,578,066	06/10/2003	Logan, David B., et al.	
		US-6,611,872	08/26/2003	McCanne, Steven	
		US-6,687,731	02/03/2004	Kavak, Nail	
		US-6,721,291	04/13/2004	Bergenwall, Martin , et al.	
		US-6,735,633	05/11/2004	Welch, William	
		US-6,741,585	05/25/2004	Munoz, Robert J., et al.	
		US-6,785,704	08/31/2004	McCanne, Steve	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. *	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code - Number - Kind Code (if known)				
		EP-0817444	01/07/1998	Ebrahim, Zahir		
		EP-0817444 A3	12/27/2000	Ebrahim, Zahir		
		EP-0959601	11/24/1999	Chauhan, Abhishek		

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>3</sup>
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DATE CONSIDERED

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 (Use as many sheets as necessary)



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Examiner Name	Siddiqi, Mohammad

Sheet 2 of

Attorney Docket No: 5543P003

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Cisco Distributed Director", <a href="http://www.tlc.iet.unipi.it/VoiceIP/cisco/114.pdf">http://www.tlc.iet.unipi.it/VoiceIP/cisco/114.pdf</a> , (October 1998),	

Filed: July 8, 2005

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

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